

DETAILED ACTION

Status of Application, Amendments, and/or Claims

1. Claims 1, 3, 4-49, 51-54, 58-60, 63-76, 128, 145 and newly added claims 148-149 are currently pending and under examination. All arguments have been fully considered and thoroughly reviewed, but are deemed not persuasive for the reasons that follow. Any objections and rejections not reiterated below are hereby withdrawn.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1, 2, 4-17, 19-27, 29-33, 35-37, 43-49, 51-52, 54, 64-70 and 73-76 are rejected under 35 U.S.C. 103(a) as being unpatentable over Köster (WO 94/16101 07/21/1994) in view of Cantor (USPN 5,503,980, 04/02/1996).

The applied reference has a common inventor with the instant application. Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art only under 35 U.S.C. 102(c). This rejection under 35 U.S.C. 103(a) might be overcome by: (1) a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not an invention "by another"; (2) a showing of a date of invention for the claimed subject matter of the application which corresponds to subject matter disclosed but not claimed in the reference, prior to the effective U.S. filing date of the reference under 37 CFR 1.131; or (3) an oath or declaration under 37 CFR 1.130 stating that the application and reference are currently owned by the same party and that the inventor named in the application is the prior inventor under 35 U.S.C. 104, together with a terminal disclaimer in accordance with 37 CFR 1.321(c). For applications filed on or after November 29, 1999,

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this rejection might also be overcome by showing that the subject matter of the reference and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person. See MPEP § 706.02(I)(1) and § 706.02(I)(2).

Köster teaches a method for sequencing a target nucleic acid, comprising the steps of: (see whole document, especially p. 11 lines 27-30)

fragmenting the target nucleic acid molecule to produce a set of nucleic acid fragments each containing a sequence that corresponds to a sequence of the target nucleic acid (see p. 13 lines 9-24 and Fig 1, where the target nucleic acid is fragmented by enzymatic digestion);

hybridizing the set to an array of nucleic acid probes to form a target array of nucleic acid molecules, wherein each probe comprises a single-stranded portion such that each member of the set hybridizes to a member of the array of probes (see p. 14 lines 31-33) and:

the array comprises a collection of probes with sufficient sequence diversity in the variable regions to hybridize all of the target sequence with complete or nearly complete discrimination (see

releasing the nucleic acid fragments hybridized to the probes, thereby producing released fragments (see p. 14 lines 31-33)

generating mass signals of the released fragments by mass spectrometry (see p. 11 lines 27-30 and p. 14 lines 31-33)

performing a comparison of the mass signals to the known reference mass signals and based upon the mass signals after the comparison determining the sequence of the target nucleic acid (see p. 15 lines 2-4).

With regard to claim 2, Köster teaches the molecular weights are determined by chromatography (see p. 8 lines 14-16).

With regard to claim 4, Köster teaches the mass spectrometry comprises a step selected from the group consisting of laser heating, droplet release, electrical release, photochemical release, fast atom

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bombardment, plasma desorption, matrix-assisted laser desorption/ionization, electrospray, and resonance ionization, or a combination thereof (see p. 11 lines 27-30).

With regard to claim 5, Köster teaches the mass spectrometry comprises a step selected from the group consisting of Fourier Transform, ion cyclotron resonance, time of flight analysis with reflection, time of flight analysis without reflection, and quadrupole analysis, or a combination thereof (see p. 6 lines 31-33, p. 17 lines 2-5).

With regard to claim 6, Köster teaches the mass spectrometry comprises matrix-assisted desorption ionization and time of flight analysis (see p. 17 lines 2-5).

With regard to claim 7, Köster teaches the mass spectrometry comprises electrospray ionization and quadrupole analysis (see p. 16 lines 31-33).

With regard to claim 8, Köster teaches two or more molecular weights are determined simultaneously (see p. 12 lines 1-3).

With regard to claim 9, Köster teaches the step of enzymatically extending the nucleic acid probes of the target array using the hybridized target nucleic acid as a template to form extended strands prior to the step of determining the molecular weights of the nucleic acids (see p. 15 lines 37-39, p. 16 line 1).

With regard to claims 10, 51, 52 and 76, Köster teaches the extended strands comprise DNA, RNA, PNA or combinations thereof (see p. 14 lines 35-37).

With regard to claims 11 and 48, Köster teaches the step of extending is performed in the presence of chain elongating nucleotides and chain terminating nucleotides (see p. 12 lines 24-29).

With regard to claim 12, Köster teaches the array comprises nucleic acid probes having at least one mass-modifying functionality (see p. 15 lines 9-12).

With regard to claim 13 and 14, Köster teaches the mass-modifying functionality is coupled to a heterocyclic base, a sugar moiety or a phosphate group (see p. 15 lines 9-12).

With regard to claim 15, Köster teaches the mass-modifying functionality is coupled to a purine at position C2, N3, N7, or C8 (see Fig 7A).

With regard to claim 16, Köster teaches the mass-modifying functionality is coupled to a deazapurine at position N7 or N9 (see Fig 8 A & B).

With regard to claim 17, Köster teaches the mass-modifying functionality is coupled to a pyrimidine at position C5 or C6 (see Figs 7A & B). With regard to claim 18, Köster teaches the mass-modifying functionality is selected from the group consisting of F, Cl, Br, I, SiR₃, Si(CH₃)₃, Si(CH₃)₂(C₂H₅), Si(CH₃)(C₂H₅)₂, (CH₂)_nCH₃, (CH₂)_nNR₂, CH₂CONR₂, (CH₂)_nOH, CH₂F, CHF₂, and CF₃; wherein n is an integer; and wherein R is selected from the group consisting of -H, deuterium and alkyls, alkoxy and aryls of 1-6 carbon atoms, polyoxymethylene, monoalkylated polyoxymethylene, polyethylene imine, polyamide, polyester, alkylated silyl, heterooligo/polyaminoacid and polyethylene glycol (see Figs 9 and 10).

With regard to claim 19, Köster teaches the mass-modifying functionality is -Na or -XR, wherein X is selected from the group consisting of -O-, -NH-, -NR-, -S-, -OCO(CH₂)_nCOO-, -NHCO(CH₂)_nCOO-, -OSO₂O-, -OCO(CH₂)_n-, -NHC(O)-, and -C(O)NH-, and n is an integer from 1 to 20; and wherein R is selected from the group consisting of -H, deuterium and alkyls, alkoxy and aryls of 1-6 carbon atoms, polyoxymethylene, monoalkylated polyoxymethylene, polyethylene imine, polyamide, polyester, alkylated silyl, heterooligo/polyaminoacid and polyethylene glycol (see Figs 9 & 10).

With regard to claims 20-26, Köster teaches X is -NHC(S)-, -NHC(S)NH-, -NC₄O₂H₃S-, -OCO(CH₂)_nS-, -OCO(CH₂)S-, X is -OP(O-alkyl)-, -OPO(O-alkyl)- (see Figs 9 & 10).

With regard to claim 27, Köster teaches the mass-modifying functionality is a thiol moiety (see p. 15 lines 7-10).

With regard to claim 29, Köster teaches the mass-modifying functionality is an alkyl moiety (see p. 15 lines 7-10).

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With regard to claim 30, Köster teaches the alkyl moiety is generated by using iodoacetamide (see p. 15 lines 7-10).

With regard to claim 31, Köster teaches the step of removing alkali cations (see p. 15 lines 24-27).

With regard to claims 32 and 33, Köster teaches the alkali cations are removed by ion exchange (see p. 15 lines 28-29).

With regard to claims 35-37, Köster teaches the target nucleic acid is provided from a biological sample, and that sample is obtained from a patient, or is provided from a recombinant source (see p. 13 lines 9-24).

With regard to claims 43-47, Köster teaches the fragments are provided by enzymatic digestion of the target nucleic acid, the enzymatic digestion is carried out by a nuclease; the nucleic acid fragments are provided by physically cleaving the target nucleic acid the nucleic acid fragments are provided by enzymatic polymerization, wherein the target nucleic acid is a template the enzymatic polymerization is a nucleic acid amplification process selected from the group consisting of strand displacement amplification, ligase chain reaction, Q β replicase amplification, 3SR amplification, and polymerase chain reaction (see p. 13 lines 9-24 and Fig 1).

With regard to claim 49, Köster teaches the nucleic acid fragments are provided by synthesizing a complementary copy of the target sequence (see p. 13 lines 18-21).

With regard to claim 54, Köster teaches the probes are single-stranded (see p. 12 lines 32-34).

With regard to claims 64, Köster teaches the array of nucleic acid probes is attached to a solid support (see p. 16 lines 13-24).

With regard to claims 65, Köster teaches the solid support is selected from the group consisting of plates, beads, microbeads, whiskers, combs, hybridization chips, membranes, single crystals, ceramics, and self-assembling monolayers (see p. 16 lines 13-16).

With regard to claim 66, Köster teaches the probes are conjugated with biotin or a biotin derivative and wherein the solid support is conjugated with avidin, streptavidin or a derivative thereof (see p. 15 line 17).

With regard to claim 67, Köster teaches each probe is attached to the solid support by a bond selected from the group consisting of a covalent bond, an electrostatic bond, a hydrogen bond, a cleavable bond, a photocleavable bond, a disulfide bond, a peptide bond, a diester bond, a selectively releasable bond and combinations thereof (see p. 15 lines 14-24).

With regard to claim 68, Köster teaches the cleavable bond is cleaved by a cleaving agent selected from the group consisting of heat, an enzyme, a chemical agent, and electromagnetic radiation, or a combination thereof (see p. 15 lines 20-24).

With regard to claim 69, Köster teaches the chemical agent is selected from the group consisting of reducing agents, oxidizing agents, and hydrolyzing agents, or a combination thereof (see p. 15 lines 16-17).

With regard to claim 70, Köster teaches the electromagnetic radiation is selected from the group consisting of visible radiation, ultraviolet radiation, and infrared radiation (see p. 14 lines 12-15).

With regard to claim 73, Köster teaches a spacer between each probe and the solid support (see Fig 23).

With regard to claim 74, Köster teaches the spacer is selected from the group consisting of oligopeptides, oligonucleotides, oligopolyamides, oligoethyleneglycerol, oligoacrylamides, and alkyl chains of between about 6 to about 20 carbon atoms, or combinations thereof (see p. 14 lines 31-33).

With regard to claim 75, Köster teaches the solid support comprises a matrix chemical that facilitates volatilization of nucleic acids for molecular weight determination (see p. 16 line 31).

Köster does not teach the probe comprises a single-stranded variable region.

Cantor teaches a probe with a single stranded variable region with sufficient sequence diversity in the variable regions to hybridize all of the target sequence with complete or nearly complete discrimination (see col. 12 lines 6-17).

One of ordinary skill in the art at the time the invention was made would have been motivated to apply the method of probes with sequence diversity in the variable regions to hybridize all of the target sequence with nearly complete discrimination as taught by Cantor with the method for sequencing nucleic acid by mass spectrometry as taught by Köster in order to improve the analysis of the nucleic acids sequences. Cantor states, "Only the overhangs vary, and in principle an array of 4^n probes is needed to represent all 4^n possible overhangs of length n. The advantage of such an array is that it provides enhanced sequence stringency in detecting the 5' terminal nucleotide of the target DNA because of base stacking between the preformed DNA duplex and the newly formed duplex (see col. 12 lines 10-16). It would have been prima facie obvious to apply the method of generating probes with sequence diversity in the variable regions to hybridize all of the target sequence with nearly complete discrimination as taught by Cantor with the method for sequencing nucleic acid by mass spectrometry as taught by Köster to achieve the expected advantage of enhanced sequence stringency in detecting the 5' terminal nucleotide of the target DNA and therefore enable more accurate sequencing of the target DNA.

3. Claim 28 is rejected under 35 U.S.C. 103(a) as being unpatentable over Köster (WO 94/16101 07/21/1994) in view of Cantor (USPN 5,503,980, 04/02/1996) and in further view of Weiss (USPN 6,025,193 02/15/2000).

The teachings and suggestions of Köster and Cantor are described previously.

Köster and Cantor do not teach or suggest the generation of a thiol moiety by using Beucage reagent.

Weiss teaches the generation of a thiol moiety by using Beucage reagent (see col. 19 lines 10-26).

One of ordinary skill in the art at the time the invention was made would have been motivated to apply the method of generating a thiol moiety as taught by Weiss with the method for sequencing nucleic acid by mass spectrometry as taught by Köster in order to improve the analysis of the nucleic acids sequences. Weiss states, "By using the sulfurization reagent, each and every "O" group of the phosphodiester bond can be substituted with a sulfur group" (see col. 19 lines 19-21). It would have been prima facie obvious to apply the method of generating a thiol moiety as taught by Weiss with the method for sequencing nucleic acid by mass spectrometry as taught by Köster to achieve the expected advantage of detecting a sulfurization reagent by which each and every "O" group of the phosphodiester bond can be substituted with a sulfur group.

4. Claims 34, is rejected under 35 U.S.C. 103(a) as being as being unpatentable over Köster (WO 94/16101 07/21/1994) in view of Cantor (USPN 5,503,980 04/02/1996).

The applied reference has a common inventor with the instant application. Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art only under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 103(a) might be overcome by: (1) a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not an invention "by another"; (2) a showing of a date of invention for the claimed subject matter of the application which corresponds to subject matter disclosed but not claimed in the reference, prior to the effective U.S. filing date of the reference under 37 CFR 1.131; or (3) an oath or declaration under 37 CFR 1.130 stating that the application and reference are currently owned by the same party and that the inventor named in the application is the prior inventor under 35 U.S.C. 104, together with a terminal disclaimer in accordance with 37 CFR 1.321(c). For applications filed on or after November 29, 1999, this rejection might also be overcome by showing that the subject matter of the reference and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person. See MPEP § 706.02(I)(1) and § 706.02(I)(2).

The teachings of Köster are described previously.

Köster does not teach ligating the hybridized target nucleic acids to the probes.

Cantor teaches ligating the hybridized target nucleic acids to the probes (see col. 8 lines 1-7).

One of ordinary skill in the art at the time the invention was made would have been motivated to apply the ligation of the probe to the target nucleic acid as taught by Cantor with the method for sequencing nucleic acid by mass spectrometry as taught by Köster in order to improve the fidelity of hybridization. Cantor states, "Ligation of the target nucleic acid to the complementary probe increases fidelity of the hybridization" (col. 8 lines 7-9). It would have been prima facie obvious to apply the ligation of the probe to the target nucleic acid as taught by Cantor with the method for sequencing nucleic acid by mass spectrometry as taught by Köster to achieve the expected advantage of an improved sequencing method due to the increased fidelity of the hybridization.

5. Claims 71-72 are rejected under 35 U.S.C. 103(a) as being unpatentable over Köster (WO 94/16101 07/21/1994) in view of Cantor (USPN 5,503,980, 04/02/1996) and in further view of Sanghvi et al. (USPN 6,214,551 04/10/2001).

The teachings and suggestions of Köster and Cantor are described previously.

Köster and Cantor do not teach or suggest the selectively releasable bond is 4,4'-dimethoxytrityl or a derivative thereof including 3 or 4 [bis-(4-methoxyphenyl)]-methyl-benzoic acid.

Sanghvi et al. teach the selectively releasable bond is 4,4'-dimethoxytrityl or a derivative thereof (see example 81, col. 58 lines 3-32). Although Sanghvi et al. do not teach the derivative 3 or 4 [bis-(4-methoxyphenyl)]-methyl-benzoic acid in particular, Sanghvi et al. disclose equivalent compounds and derivatives used for the same purpose (Example 81).

One of ordinary skill in the art at the time the invention was made would have been motivated to apply the selectively releasable bond of 4,4'-dimethoxytrityl or a derivative thereof as taught by Sanghvi

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with the method for sequencing nucleic acid by mass spectrometry as taught by Köster and Cantor in order to have a selectively releasable bond. Sanghvi et al. state, "This invention is also directed to methods for the selective binding of RNA for research and diagnostic purposes. Such selective, strong binding is accomplished by interacting such RNA or DNA with compositions of the invention which are resistant to degradative nucleases and which hybridize more strongly and with greater fidelity than known oligonucleotides or oligonucleotide analogs" (col. 31 lines 19-25). It would have been *prima facie* obvious to apply Sanghvi's selectively releasable bond of 4,4'-dimethoxytrityl or a derivative thereof with Köster's method for sequencing nucleic acid by mass spectrometry to achieve the expected advantage of an invention directed to methods for the selective binding of RNA for research and diagnostic purposes where such selective, strong binding is accomplished by interacting RNA or DNA with compositions of the invention which are resistant to degradative nucleases and which hybridize with greater strength and fidelity than known oligonucleotides or oligonucleotide analogs.

6. Claims 38-39, 53, 58-60, 63, 128 and 145 are rejected under 35 U.S.C. 103(a) as being unpatentable over Köster (WO 94/16101 07/21/1994) in view of Cantor (USPN 5,503,980 04/02/1996).

The applied reference has a common inventor with the instant application. Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art only under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 103(a) might be overcome by: (1) a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not an invention "by another"; (2) a showing of a date of invention for the claimed subject matter of the application which corresponds to subject matter disclosed but not claimed in the reference, prior to the effective U.S. filing date of the reference under 37 CFR 1.131; or (3) an oath or declaration under 37 CFR 1.130 stating that the application and reference are currently owned by the same party and that the inventor named in the application is the prior inventor under 35 U.S.C. 104, together with a terminal

disclaimer in accordance with 37 CFR 1.321(c). For applications filed on or after November 29, 1999, this rejection might also be overcome by showing that the subject matter of the reference and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person. See MPEP § 706.02(I)(1) and § 706.02(I)(2).

The teachings of Köster are described previously.

Köster does not teach probes that comprise a double stranded portion and a single stranded portion. Köster does not teach the probes are about 10 to about 1,000 nucleotides in length. Köster does not teach the variable region is about 4-20 nucleotides in length. Köster does not teach the single stranded region is about 4-20 nucleotides in length. Köster does not teach the fragments of nucleic acids comprise greater than about 104 different members and each member is between about 10 to about 1,000 nucleotides in length. Köster does not teach the array comprises a collection of probes with sufficient sequence diversity in the variable regions to hybridize all of the target sequence with complete or nearly complete discrimination.

Cantor teaches probes with a double stranded portion and a single stranded portion, the probes are about 10 to about 1,000 nucleotides in length, the variable region is about 4-20 nucleotides in length, the single stranded region is about 4-20 nucleotides in length (see whole document especially col. 3 lines 32-36, see col. 5 lines 53-59, col. 7 lines 65-67 and col. 8 lines 1-7). Cantor teaches the fragments of nucleic acids comprise greater than about 104 different members and each member is between about 10 to about 1,000 nucleotides in length and the array comprises a collection of probes with sufficient sequence diversity in the variable regions to hybridize all of the target sequence with complete or nearly complete discrimination (see col. 6 lines 1-6).

One of ordinary skill in the art at the time the invention was made would have been motivated to apply the probes as taught by Cantor with the method for sequencing nucleic acid by mass spectrometry as taught by Köster in order to improve nucleic acid sequencing. Cantor states, "This invention is

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directed to methods for sequencing nucleic acids by positional hybridization, to procedures combining these methods with more conventional sequencing techniques, to the creation of probes useful for nucleic acid sequencing by positional hybridization, to diagnostic aids useful for screening biological samples for nucleic acid variations, and to methods for using these diagnostic aids" (col. 1 lines 10-16). It would have been *prima facie* obvious to apply the probes as taught by Cantor with the method for sequencing nucleic acid by mass spectrometry as taught by Köster to achieve the expected advantage of an improved sequencing method conferring the advantage of accurate high throughput analysis.

7. Claims 148 and 149 are rejected under 35 U.S.C. 103(a) as being unpatentable over Köster (WO 94/16101 07/21/1994) and Cantor (USPN 5,503,980, 04/02/1996) in view of Fu et al. (USPN 6,436,635).

The applied reference has a common inventor with the instant application. Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art only under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 103(a) might be overcome by: (1) a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not an invention "by another"; (2) a showing of a date of invention for the claimed subject matter of the application which corresponds to subject matter disclosed but not claimed in the reference, prior to the effective U.S. filing date of the reference under 37 CFR 1.131; or (3) an oath or declaration under 37 CFR 1.130 stating that the application and reference are currently owned by the same party and that the inventor named in the application is the prior inventor under 35 U.S.C. 104, together with a terminal disclaimer in accordance with 37 CFR 1.321(c). For applications filed on or after November 29, 1999, this rejection might also be overcome by showing that the subject matter of the reference and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person. See MPEP § 706.02(I)(1) and § 706.02(I)(2).

The teachings of Köster and Cantor are described previously.

Köster and Cantor do not teach phosphatased double stranded targets or monitoring by confocal microscopy.

Fu et al. teach phosphatased double stranded targets and monitoring by confocal microscopy (see example 16).

One of ordinary skill in the art at the time the invention was made would have been motivated to apply the techniques for monitoring the array as taught by Fu et al. with the method for sequencing nucleic acid by mass spectrometry as taught by Köster and Cantor in order to improve nucleic acid sequencing. Fu et al. teaches these methods can be used reduce sequencing redundancy, specifically, Fu et al. refer to it as reduce pass sequencing (see example 16). It would have been prima facie obvious to apply the techniques for monitoring the array as taught by Fu et al. with the method for sequencing nucleic acid by mass spectrometry as taught by Köster and Cantor to achieve the expected advantage of an improved sequencing method and reduced labor and expense that occurs in redundant sequencing.

Response to Arguments

8. Applicants' arguments with respect to the previously made rejections have been considered but are moot in view of the new ground(s) of rejection. The previously made rejections were withdrawn based on the amendments made to the claims.

Summary

9. No claims were allowable.

Conclusion

10. Applicants' amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Correspondence

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Heather G. Calamita whose telephone number is 571.272.2876 and whose e-mail address is heather.calamita@uspto.gov. However, the office cannot guarantee security through the e-mail system nor should official papers be transmitted through this route. The examiner can normally be reached on Monday through Thursday, 7:00 AM to 5:30 PM.

If attempts to reach the examiner are unsuccessful, the examiner's supervisor, Gary Benzon can be reached at 571.272.0782.

Papers related to this application may be faxed to Group 1637 via the PTO Fax Center using the fax number 571.273.8300.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to 571.272.0547.

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/Heather G. Calamita/
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